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F	ORM		First Named	Inventor	Dorrie M. I	Happ 9
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			Examiner Na	me	Blessing N	Л. Fubara
Total Number of Pag	ges in This Submissions	^{on} 13	Attorney Doc	ket Number	50623.132	2 .
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Affidavits/dec	laration(s)	Petition to Convert to a Provisional Application		Submission of Formal Drawings duplicate)		
Petition for Extension of Time (month) (in duplicate)		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):		
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Firm or Individual name	Squire, Sanders & Victor Repkin, Re					·
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 1 7, 2004

In re Application of:

*xaminer:

Blessing M. Fubara

Dorrie M. Happ

RECEIVED

Serial No.:

09/966,036

Art Unit:

1615

FEB 2 4 2004

Filed:

September 28, 2001

Attorney's Docket Number: 50623.00132

Title:

A Medical Device Containing Light-Protected Therapeutic Agent And a

Method For Fabricating Thereof

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Dear Examiner Fubara:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §\$1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith. The Examiner is requested to make this information of official record in the application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being submitted after receiving an Office Action and after three months of the filing date of the above-identified application. Therefore, please charge Deposit Account No. 07-1850 in the amount of \$180.00 as specified in 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p).

Date: February 13, 200 SQUIRE, SANDERS & DEMPSEY L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887

Respectfully submitted,

Victor Repkin

Attorney for Applicant

Reg. No. 45,039

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Blessing M. Fubara

Dorrie M. Happ

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Respectfully submitted,

Victor Repkin

Attorney for Applicant

Reg. No. 45,039

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Page 1 of 8

FORM PTO-1449 (Modified)
Approved for use through 19/24/3800

US DEPARTMENT OF COMMERCE
US Patent and Trademark Office

Docket No. 50623.132

Applicant

Application No.

09/966,036

INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Dorrie M. Happ

Group Art Unit

Filing Date Group Art Unit
September 28, 2001 1615

		·····	U.S. PATE	NT DOCUMENTS		,	
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	4,329,383	5/11/82	Joh	428	36	7/21/80
	A2	4,733,665	3/29/88	Palmaz	128	343	11/7/85
-	А3	4,800,882	1/31/89	Gianturco	128	343	3/13/87
-	A4	4,882,168	11/21/89	Casey et al.	424	468	9/5/86
•	A5	4,886,062	12/12/89	Wiktor	128	343	10/19/87
0	A6	4,941,870	7/17/90	Okada et al.	600	36	12/30/88
	A7	4,977,901	12/18/90	Ofstead	128	772	4/6/90
	A8	5,112,457	5/12/92	Marchant	204	165	7/23/90
4	A9	5,165,919	11/24/92	Sasaki et al.	424	488	9/26/90
	A10.	5,272,012	12/21/93	Opolski	428	423.1	1/29/92
	A11	5,292,516	3/8/94	Viegas et al.	424	423	11/8/91
	A12	5,298,260	3/29/94	Viegas et al.	424	486	6/9/92
	A13	5,300,295	4/5/94	Viegas et al.	424	427	9/13/91
*.	A14	5,306,501	4/26/94	Viegas et al.	424	423	11/8/91
	A15	5,328,471	7/12/94	Slepian	604	101	8/4/93
	A16	5,330,768	7/19/94	Park et al.	424	501	7/5/91
	A17	5,380,299	1/10/95	Fearnot et al.	604	265	8/30/93
	A18	5,417,981	5/23/95	Endo et al.	424	486	4/28/93
	A19	5,447,724	9/5/95	Helmus et al.	424	426	11/15/93
	A20	5,455,040	10/3/95	Marchant	424	426	11/19/92
·· -	A21	5,462,990	10/31/95	Hubbell et al.	·⁄ 525	54.1	10/5/93
	A22	5,464,650	11/7/95	Berg et al.	427.	2.30	4/26/93
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	A24	5,578,073	11/26/96	Haimovich et al.	623	1	9/16/94
	A25	5,605,696	2/25/97	Eury et al.	424	423	3/30/95
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٠. ٢	127	5,624,41	4/05//97	Tuch	604	265	6/7/95
4	\28	5,628,730	5/13/97	Shapland et al.	604	21	7/18/94
Δ	129	5,649,977	7/22/97	Campbell	623	1	9/22/94
	\ 30	5,658,995	8/19/97	Kohn et al.	525	432	11/27/95
Δ	\31	5,667,767	9/16/97	Greff et al.	424	9.411	7/27/95
Δ	132	5,670,558	9/23/97	Onishi et al.	523	112	7/6/95
Δ	\33	5,679,400	10/21/97	Tuch	427	2.14	6/7/95
م	N34	5,700,286	12/23/97	Tartaglia et al.	623	1	8/22/96
Δ	\35	5,702,754	12/30/97	Zhong	427	2.12	2/22/95
·	\36	5,716,981	2/10/98	Hunter et al.	514	449	6/7/95
Δ	\37	5,735,897	4/7/98	Buirge	623	12	1/2/97
, A	\38	5,746,998	5/5/98	Torchilin et al.	424	9.4	8/8/96
A	\39	5,776,184	7/7/98	Tuch	623	1	10/9/96
Д	40	5,788,979	8/4/98	Alt et al.	424	426	2/10/97
A	\41	5,800,392	9/1/98	Racchini	604	96	5/8/96
A	42	5,820,917	10/13/98	Tuch	427	2.1	6/7/95
A	43	5,824,048	10/20/98	Tuch	623	1	10/9/96
A	\44	5,824,049	10/20/98	Ragheb et al.	623	1	10/31/96
А	45	5,830,178	11/3/98	Jones et al.	604	49	10/11/96
А	46	5,837,008	11/17/98	Berg et al.	623	. 1	4/27/95
А	47	5,837,313	11/17/98	Ding et al.	427	2.21	6/13/96
A	48	5,851,508	12/22/98	Greff et al.	424	9.411	2/14/97
А	49	5,858,746	1/12/99	Hubbell et al.	435	177	1/25/95
А	.50	5,865,814	2/2/99	Tuch	604	265	8/6/97
А	.51 -	5,869,127	2/9/99	Zhong	427	2.12	6/18/97
Α	52	5,873,904	2/23/99	Ragheb et al.	623	1	2/24/97
A	.53	5,876,433	3/2/99	Lunn	623	1	5/29/96
A	.54	5,877,224	3/2/99	Brocchini et al.	514	772.2	7/28/95
A	.55	5,925,720	7/20/99	Kataoka et al.	525	523	12/18/97
A	.56	5,955,509	9/21/99	Webber et al.	514	772.7	4/23/97
А	.57	5,971,954	10/26/99	Conway et al.	604	96	1/29/97
A	.58	5,980,928	11/9/99	Terry	424	427	7/29/97

· A59	5,980,972	11/9/99	Ding	427	2.24	9/22/97
A60	5,997,517	12/7/99	Whitbourne	604	265	1/27/97
A61	6,010,530	1/4/00	Goicoechea	623	1	2/18/98
A62	6,015,541	1/18/00	Greff et al.	424	1.25	11/3/97
A63	.6,033,582	3/7/00	Lee et al.	216.	37	1/16/98
A64	6,042,875	3/28/00	Ding et al.	427	2.24	3/2/99
A65	6,051,648	4/18/00	Rhee et al.	525	54.1	1/13/99
A66	6,051,576	4/18/00	Ashton et al.	514	255	1/29/97
A67	6,056,993	5/2/00	Leidner et al.	427	2.25	4/17/98
` A68	6,060,451	5/9/00	DiMaio et al.	514	13	3/20/95
A69	6,060,518	5/.9/00	Kabanov et al.	514	781	8/16/96
A70	6,080,488	6/27/00	Hostettler et al.	428	423.3	3/24/98
. A71	6,096,070	8/1/00	Ragheb et al.	623	1	5/16/96
A72	6,099,562	8/8/00	Ding et al.	623	1.46	12/22/97
A73	6,110,188	8/29/00	Narciso, Jr.	606	153	3/9/98
A74	6,110,483	8/29/00	Whitbourne et al.	424	423	6/23/97
A75	6,113,629	9/5/00	. Ken	623	1.1	5/1/98
A76	6,120,536	9/19/00	Ding et al.	623	1.43	6/13/96
A77	6,120,904	9/19/00	Hostettler et al.	428	423.3	5/24/99
A78	6,121,027	9/19/00	Clapper et al.	435	180	8/15/97
A79	6,129,761	10/10/00	Hubbell	623	11	6/7/95
A80	6,153,252	11/28/00	Hossainy et al.	427	2.3	4/19/99
A81	6,165,212	12/26/00	Dereume et al.	623	1.13	6/28/99
A82	6,203,551	3/20/01	Wu	606	108	10/4/99
A83	6,231,600	5/15/01	Zhong	623	1.42	5/26/99
A84	6,240,616	6/5/01	Yan	29	527.2	4/15/97
A85	6,245,753	6/12/01	Byun et al.	514	56	4/27/99
A86	6,251,136	6/26/01	Guruwaiya et al.	623	1.46	12/8/99
A87	6,254,632	7/3/01	Wu et al.	623	1.15	9/28/00
A88	6,258,121	7/10/01	Yang et al.	623	1.46	7/2/99
A89	6,283,947	9/4/01	Mirzaee	604	264	7/13/99

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	A91	6,284,305	9/4/01	Ding et al.	427	2.28	5/18/00
	A92	6,287,628	9/11/01	Hossainy et al.	427	2.3	9/3/99
	A93	6,299,604	10/9/01	Ragheb et al.	604	265	8/20/99
	A94	6,306,176	10/23/01	Whitbourne	623	23.59	9/21/99
,	A95	6,331,313	12/18/01	Wong et al.	424	427	10/22/99
	A96	6,335,029	1/1/02	Kamath et al.	424	423	12/3/98
-	A97	6,346,110	2/12/02	Wu	606	108	1/3/01
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-	A99	6,379,381	4/30/02	Hossainy et al.	623	1.42	9/3/99
	A100	6,395,326	5/28/02	Castro et al.	427	2.24	5/31/00
	A101	6,419,692	7/16/02	Yang et al.	623	1.15	2/3/99
	A102	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
	A103	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/99
	A104	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00
	A105	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00
	A106	6,527,801	3/4/03	Dutta	623	1.46	4/13/00
	A107	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
	A108	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00
	A109	6,544,223	4/8/03	Kokish	604	103.01	1/5/01
	A110	6,544,543	4/8/03	Mandrusov et al.	424	422	12/27/00
	A111	6,544,582	4/8/03	Yoe	427	2.24	1/5/01
	A112	6,555,157	4/29/03	Hossainy	427	2.24	7/25/00
	A113	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/26/00
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	A115	6,572,644	6/3/03	Moein	623	1.11	6/27/01
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	A119	09/676,049		Castro et al.			10/	3/03
	A120	2001/0018469	8/30/01	Chen et al.	523	121	12/2	28/00
	A121	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15	6/2	1/01
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•	A123	2002/0091433	7/11/02	Ding et al.	623	1.2	12/1	7/01
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,	A125	2003/0065377	4/3/03	Davila et al.	623	1.13	4/3	0/02
•	A126	2003/0099712	5/29/03	Jayaraman	424	486	11/2	6/01
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·	B15	EP 0 970 711	1/12/00	European				*
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B22	WO 97/10011	3/20/97	PCT				
B23	WO 97/45105	12/4/97	PCT				
B24	WO 97/46590	12/11/97	PCT				
B25	WO 98/17331	4/30/98	PCT				
, B26	WO 98/36784	8/27/98	PCT				
B27	WO 99/01118	1/14/99	PCT				
B28	WO 99/38546	8/5/99	PCT				
, B29	WÓ 99/63981	12/16/99	PCT				
B30	WO 00/02599	1/20/00	PCT				-
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B32	WO 00/18446	4/6/00	PCT				
B33	WO 00/64506	11/2/00	PCT		1		
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B35	WO 01/15751	3/8/01	PCT	·	-		
B36	WO 01/17577	3/15/01	PCT ·				
B37	WO 01/45763	6/28/01	PCT				
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B41	WO 02/026162	4/4/02	PCT	1134 = 7			
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B47	WO 03/037223	5/8/03	PCT				
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C1	Anonymous, Cardiolo http://www.dialogweb.	gists Draw - Up Th com/cgi/document	ne Dream Stent, Clinica 710:15 1?req=1061848202959, printed	(June 17, 19 8/25/03 (2 p	996), ages).	· <u>·</u>	
C2	Anonymous, <i>Heparin-</i> http://www.dialogweb.	coated stents cut o	complications by 30%, Clinica 7 Preq=1061847871753, printed	32:17 (Nov. 8/25/03 (2 n	18, 1996 ages).),	

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	C4	Anonymous, Stenting continues to dominate cardiology, Clinica 720:22 (Sept. 2, 1996), http://www.dialogweb.com/cgi/document?req=1061848017752 , printed 8/25/03 (2 pages).
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	C8	Chung et al., Inner core segment design for drug delivery control of thermo-responsive polymeric micelles, Journal of Controlled Release 65:93-103 (2000).
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